

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Richard L. SANDOR

Date: August 21, 2003

Serial No.:

10/623,134

Docket No.: 026532:0103

Filed:

July 18, 2003

Group Art Unit: Unknown

For:

EMISSION REDUCTION AND TRADING SYSTEM AND METHOD

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on August 21, 2003.

Paul S. Hunter

(Name of applicant, assignee or Registered Representative)

August 21, 2003

(Date of Signature)

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With respect to the above-referenced application, applicants request entry of the following preliminary amendment. Insert the following after paragraph [0001]:

CROSS REFERENCE TO RELATED APPLICATIONS

[0001.1]The present application claims priority to U.S. Provisional

Application 60/397,401 entitled "Emission Reduction and Trading Program" filed on July 20, 2002, and incorporated herein in its entirety by reference.